Department of Business Oversight State of California

License

FINANCE LENDER - AMENDED CALIFORNIA FINANCING LAW

File No: 605 4631

SOLAR MOSAIC, INC.

THE LICENSE TO ACT AS CALIFORNIA FINANCE LENDER HERETOFORE ISSUED TO THE ABOVE NAMED CORPORATION ON MARCH 14, 2018, IS HEREBY AMENDED TO READ AS FOLLOWS:

PURSUANT TO, AND IN COMPLIANCE WITH, THE CALIFORNIA FINANCING LAW:

I, THE UNDERSIGNED, AS COMMISSIONER OF BUSINESS OVERSIGHT OF THE STATE OF CALIFORNIA, DO HEREBY ISSUE THIS LICENSE TO:

MOSAIC (SOLAR MOSAIC, INC., DBA)

INCORPORATED MAY 24, 2011, IN THE STATE OF DELAWARE TO ENGAGE IN THE BUSINESS OF "FINANCE LENDER" AS DEFINED IN SAID LAW, AT THE FOLLOWING LOCATION:

300 LAKESIDE DRIVE, 24TH FLOOR OAKLAND, CALIFORNIA 94612

TO CONTINUE IN EFFECT UNTIL SURRENDERED, SUSPENDED, OR REVOKED AS PROVIDED BY LAW.

IN WITNESS WHEREOF, I HAVE HEREUNTO SET MY HAND AND AFFIXED MY OFFICIAL SEAL ON THE DATE APPEARING BELOW.

Date:	April 16, 2018	JAN LYNN OWEN
		Commissioner of Business Oversight
	•	By
		CHARLES AGBONKPOLOR
		Special Administrator
		California Financing Law



DEPARTMENT OF BUSINESS OVERSIGHT

Ensuring a Fair and Secure Financial Services Marketplace for all Californians

Jan Lynn Owen Commissioner of Business Oversight



IN REPLY REFER TO: FILE NO: 605 4631

April 16, 2018

SOLAR MOSAIC, INC. Attention: ERIC WATSON 300 LAKESIDE DRIVE, 24TH FLOOR OAKLAND, CALIFORNIA 94612

RE: AMENDED FINANCE LENDERS "LENDERS AND/OR BROKERS" LICENSE

Dear Licensee:

Enclosed is your amended Finance Lenders and/or Brokers license(s). Please examine it carefully to ensure that it has been amended correctly. If it is incorrect, return it to us immediately with a detailed letter explaining the exact nature of the problem.

The following are important dates for licensees under the California Financing Law to remember. Compliance with the requirements of the Law is the responsibility of the licensee.

- March 15th Annual Reports are due (the report must be completed online on the DBO's website at https://docqnet.dbo.ca.gov. Licensees must log in to the self-service portal in order to complete the report).
- March 31st Residential Mortgage Lending (Holden Act) Filings are due (<u>reporting forms</u> should be received by the middle of January).
- October 31st, Assessment payments are due (assessment invoices are sent out on or before September 30th). If you have not received your invoice by the middle of October, a copy may be obtained from our Accounting Office in Sacramento by calling (916) 323-7383.

Questions regarding the use of your license can be directed to a CFL Licensing Specialist who can be reached by calling the number shown at the bottom of this page.